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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 920.00)

Complete if Known

Application Number	09/127,341
Filing Date	7-31-98
First Named Inventor	DeAddio
Examiner Name	D. Felten
Group Art Unit	2164
Attorney Docket No.	11021-0001

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **08-3264**

Deposit Account Name **Hughes Hubbard & Reed**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
108	330	206	165	Design filing fee	
107	510	207	256	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Claims	-3** =	X	
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203
102	84	202
104	280	204
109	84	209
110	18	210
		9
		42
		140
		42
		9

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

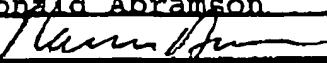
3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
138	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	920
118	1,440	218	720	Extension for reply within fourth month	
128	1,880	228	880	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	180	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.128(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 920)

SUBMITTED BY

Name (Print/Type)	Ronald Abramson	Registration No. (Attorney/Agent)	34,762	Complete if applicable
Signature				Telephone 212-837-6404 Date 11-7-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: DeAddio et al.

Examiner:

D. Felten

Title:

Object Oriented System for
Managing Complex Financial
Instruments

Serial No.:

09/127,341

Filed:

July 31, 1998

Group Art Unit: 2164

Ref. No.:

11021.0001

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence including the following papers are being facsimile transmitted to the United States Patent and Trademark Office on November 7, 2001:

1. Amendment in response to May 7, 2001 Office Action.
2. Clean set of specification pages affected by the amendment.
3. Marked set of specification pages affected by the amendment.
4. Clean set of amended claims.
5. Marked set of amended claims
6. Fee Transmittal (Form PTO/SB/17)
7. Credit Card Payment Form (Form PTO-2038)

Dated: November 7, 2001



Ronald Abramson
Registration No. 34,762
Attorney for Applicant

HUGHES HUBBARD & REED LLP
One Battery Park Plaza
New York, New York 10004-1482
Voice: (212) 837-6000
Fax: (212) 299-6404